

Audit, Standards and Risk Committee Work Plan 2023/24

Date	Agenda Item
13 th December 2023	<p><u>Substantive items</u></p> <p>Tram Update Bus Franchising Update PCC Update Progress Update on the New Governance Model Annual Governance Review Process Treasury Management – mid-year update and 22/23 outturn Assurance Framework Governance Internal Audit Scoping PCC Audit Arrangements</p> <p><u>Standing items</u></p> <p>Health and Safety Update/Report (period 1st July to 30 Sept) External Audit Update Internal Audit Plan Progress Report Internal Audit Reports – Risk Management, Purchasing & Creditors, Trams Services Progress Healthcheck and H & S Framework, Bus Data Quality Transport Risk Working Group Update (verbal) Risk Monitoring Report Governance Improvement Plan 2023/24 Update Breach of Controls Report Work Plan 2023/24 (Chronologically) Issues for Escalation (verbal)</p>
Jan	Propose Treasury Management is delegated and then an L & D session is scheduled
20 th March 2024	<p><u>Substantive items</u></p> <p>Tram Update Bus Franchising Update PCC Update Findings of the Annual Governance Review 2023/24 Code of Corporate Governance Review Internal Audit Plan/Internal audit strategy 2023/24 Internal Audit Annual Performance Report Committee Effectiveness Findings Draft Annual Governance Statement and Governance Improvement Plan 2024/25 Final Accounts External Auditor's Annual Audit and Inspection Letter</p> <p><u>Standing items</u></p> <p>Health and Safety Update/Report (no data) External Audit Update Internal Audit Plan Progress Report (inc outcome of Annual Service Review Meeting)</p>

	<p>Internal Audit Reports – AEB, Governance, Assurance Framework</p> <p>Internal Audit Recommendations Tracker</p> <p>Transport Risk Working Group Update (verbal)</p> <p>Risk Monitoring Report</p> <p>Governance Improvement Plan 2023/24 Update</p> <p>Breach of Controls Report</p> <p>Work Plan 2023/24 (Chronologically)</p> <p>Issues for Escalation (verbal)</p>
* June 2024	<p><u>Substantive items</u></p> <p>Appointment of Chair and Vice-chair</p> <p>Final Annual Governance Statement</p> <p><u>Standing items</u></p> <p>Health and Safety Update/Report (period 1st Jan to 31st Mar)</p> <p>Internal Audit Plan Progress Report</p> <p>Internal Audit Reports – tbc</p> <p>Internal Audit Recommendations Tracker</p> <p>Transport Risk Working Group Update</p> <p>Risk Monitoring Report</p> <p>Governance Improvement Plan 2023/24 Update</p> <p>Breach of Controls Report</p> <p>Work Plan 2023/24 (Chronologically & against ToR)</p> <p>Issues for Escalation</p>

*Date to be confirmed